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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/730,460	
	Filing Date	December 8, 2003	
	First Named Inventor	Aaron G. Arellano	
	Group Art Unit	2874	
	Examiner Name		
Total Number of Pages in this Submission	5	Attorney Docket Number	02-0466.93

ENCLOSURES (check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	George P. Kobler Registration No. 46,837
Signature	<i>George P. Kobler</i>
Date	3.16.04

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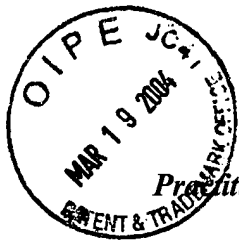
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Proponent's Docket Number: 02-0466.93

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Aaron G. Arellano

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§

Group Art Unit: 2874

Examiner:

Serial No.: 10/730,460

Filed: December 8, 2003

For: *Temperature-controlled Flexible
Optical Circuit for Use in an
Erbium-doped Fiber Amplifier and
Method for Fabricating the Flexible
Optical Circuit*

Confirmation No.: 2907

INFORMATION DISCLOSURE STATEMENT

PURSUANT TO 37 C.F.R. § 1.97 and § 1.98

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

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George P. Kobler

(type or print name of person certifying)

Sir:

Listed on the accompanying forms PTO/SB/08A & PTO/SB/08B are documents which may be considered material to the examination of this application. A copy of the non patent literature documents are provided.

Pursuant to 37 CFR §1.97, the undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 more than three months prior to the filing of the information disclosure statement.

The filing of this supplemental information disclosure statement should not be construed to be an admission that the information cited in this statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Moreover, Applicant(s) reserves the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as references, or to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that a search has been made, or that there does not exist information more material to the examination of the present application. The Examiner is specifically requested not to rely solely on the materials submitted herewith. Instead the Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

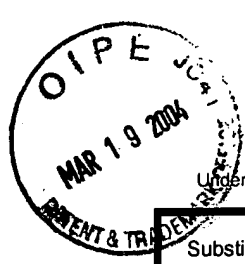
It is respectfully requested that the Examiner initial and return a copy of enclosed PTO/SB/08A & PTO/SB/08B and to similarly indicate that the document have been considered.

Respectfully submitted,

George P. Kobler
Registration No. 46,837
March 16, 2004

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Practitioner's Docket Number: 02-0466.93



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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/730,760
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	December 8, 2003
				First Named Inventor	Aaron G. Arellano
				Group Art Unit	2874
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	02-0466.93

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 6,088,493	07/11/00	Brenner	
	2	US- 6,005,991	12/21/99	Knasel	
	3	US- US 2001/0041025 A1	11/15/01	Farahi	
	4	US- US 2003/0044141 A1	03/06/03	Melton et al.	
	5	US- 5,463,229	10/31/95	Takase et al.	
	6	US- 6,538,207	03/25/03	Barth	
	7	US- US 2002/00247 A1	02/28/02	Hamada	
	8	US- US 2003/0035204 A1	02/20/03	Ahn et al.	
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FOREIGN PATENT DOCUMENTS						
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's Unique citation designation number (optional). ² See Kinds Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.4.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/730,460
				Filing Date	December 8, 2003
				First Named Inventor	Aaron G. Arellano
				Group Art Unit	2874
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	02-0466.93

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	"Flat Flexible Erbium-Doped Fiber-Coil Sheet (EDF-Sheet)", Version 1.1, February 6, 2002.	
	2	"Fiber Management with the Next Generation Flex Circuit" http://www.us.schott.com/optovance/english/products/opticalshuffle.html , downloaded April 3, 2002.	
	3	"Fiber Optic Solutions" http://www.fciconnect.com/products/fiber_06.html , downloaded April 3, 2002.	
	4	"Contours™ Optical Circuits" http://www.usconec.com/pages/product/circuit/maininfo.html , downloaded April 3, 2002.	

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